



## After the Trade Is Made, Revised Ed.: Processing Securities Transactions

By David M. Weiss

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By David M. Weiss

**The fully updated classic guide to the mechanics of securities processing—a must for professional investors**

This third edition of *After the Trade Is Made* reflects the changes that have taken place in recent years as a result of new products, technological breakthroughs, and the globalization of the securities industry. Comprehensive and easy to understand, it provides brokers, operations personnel, and individual investors with definitive and up-to-the-minute explanations of each step in the trading process—from the moment a customer decides to buy or sell a security through the final requirements of record keeping.

Written for both securities professionals and individual investors, whether domestic or in other countries, this new edition clearly explains the core of underwritings, new and established trading markets, transaction processing, margin, and more—while providing critical insights into the most recent wave of industry changes.

David M. Weiss, a veteran securities professional, traces the entire process of buying or selling a security, from order management to transaction processing to the final posting on the firm's books and records. He covers the specialized attributes of each function in a typical brokerage firm, as well as their relationships with commercial banks, transfer agents, clearing corporations, and depositories.

*After the Trade Is Made* is the definitive resource for anyone eager to understand and confidently navigate the vast and often surprising world of securities.

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**Bibliography**

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### **Editorial Review**

#### **About the Author**

David M. Weiss has been active in the brokerage industry for over 35 years. Currently Chief Operating Officer at Powerhouse Systems Group, he served as a Vice President of Global Operations at Goldman Sachs and worked for the New York Stock Exchange. He has written several books including Global Securities Processing (New York Institute of Finance).

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